

3625

DOCKET NO. 0035/127334.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marcus Peinado et al.

Application No.: 09/290,363

Filing Date: April 12, 1999

For: ENFORCEMENT ARCHITECTURE AND METHOD FOR DIGITAL RIGHTS  
MANAGEMENT

Confirmation No.: 9794

Group Art Unit: 3625

Examiner: Nguyen, Cuong H.

DATE OF DEPOSIT: April 17, 2003

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
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ADDRESSED TO THE COMMISSIONER OF PATENTS  
AND TRADEMARKS, WASHINGTON, DC 20231. (PETER M. ULLMAN)

*[Signature]* (Reg no. 43,963)  
[for Steven H. Meyer]  
TYPED NAME: Steven H. Meyer  
REGISTRATION NO.: 37,189

Box ☒ NON-FEE  
☐ AF

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

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GROUP 3600

REPLY TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified patent application is:

- ☐ A Preliminary Amendment.
- ☐ An Amendment Responsive to the Office Action Dated January 17, 2003.
- ☐ An Amendment Supplemental to the Paper filed
- ☐ Other:
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR § 1.27.

- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR § 1.27 as:
  - ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the U.S. Patent and Trademark Office.
- ☐ Loss of Entitlement Enclosed
- ☐ Substitute Pages                of the Specification are enclosed.
- ☐ An Abstract is enclosed.
- ☐                Sheets of Proposed Corrected Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications:                is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
  - ☐ Attached Form 1449.
  - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows:                .
- ☐ Other Material as follows:                .

## FEE CALCULATION

☒ No Additional Fee is Due.

|  |                                 |                     |       | SMALL ENTITY |       | NOT SMALL ENTITY |         |
|--|---------------------------------|---------------------|-------|--------------|-------|------------------|---------|
|  | REMAINING<br>AFTER<br>AMENDMENT | HIGHEST<br>PAID FOR | EXTRA | RATE         | FEE   | RATE             | FEE     |
| TOTAL<br>CLAIMS  | 130                             | 142                 | 0     | \$9<br>EACH  | \$    | \$18 EACH        | \$00.00 |
| INDEP.<br>CLAIMS   | 6                               | 6                   | 0     | \$42<br>EACH | \$    | \$84 EACH        | \$00.00 |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT                     |                                 |                     |       | \$140        | \$    | \$280            | \$00.00 |
| <input type="checkbox"/> ONE MONTH EXTENSION OF TIME         |                                 |                     |       | \$55         | \$    | \$110            | \$      |
| <input type="checkbox"/> TWO MONTH EXTENSION OF TIME         |                                 |                     |       | \$205        | \$    | \$410            | \$00.00 |
| <input type="checkbox"/> THREE MONTH EXTENSION OF TIME       |                                 |                     |       | \$465        | \$    | \$930            | \$00.00 |
| <input type="checkbox"/> FOUR MONTH EXTENSION OF TIME        |                                 |                     |       | \$725        | \$    | \$1450           | \$00.00 |
| <input type="checkbox"/> FIVE MONTH EXTENSION OF TIME        |                                 |                     |       | \$985        | \$    | \$1970           | \$00.00 |
| <input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID |                                 |                     |       | minus        | (\$ ) | minus            | (\$ )   |
| <input type="checkbox"/> TERMINAL DISCLAIMER                 |                                 |                     |       | \$55         | \$    | \$110            | \$00.00 |
| <input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:  |                                 |                     |       |              |       |                  | 00.00   |
| TOTAL FEE DUE  |                                 |                     |       |              | \$    |                  | \$00.00 |

- ☐ A check in the amount of \$ \_\_\_\_\_.00 is attached. Please charge any deficiency or credit any overpayment to Deposit Account 23-3050.
- ☐ Petition is hereby made under 37 CFR § 1.136(a) (fees: 37 CFR § 1.17(a)(1)-(4) to extend the time for response to the Office Action of \_\_\_\_\_ to and through \_\_\_\_\_ comprising an extension of the shortened statutory period of \_\_\_\_\_ month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 23-3050. This sheet is provided in duplicate.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is provided in duplicate.
- ☐ The foregoing amount due for filing this paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 CFR § 1.16.


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PATENT

☒ Any additional patent application processing fees under 37 CFR § 1.17 or 1.20(d).

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the U.S. Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: April 17, 2003

 (reg. status no. 43,963)  
[PETER M. ULLMAN  
for Steven H. Meyer]

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Amend 17/B*

*Louman*  
PATENT

*4/29/03*

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Marcus Peinado et al.

Serial No.: 09/290,363

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For: ENFORCEMENT ARCHITECTURE AND METHOD FOR DIGITAL RIGHTS  
MANAGEMENT

I, Steven H. Meyer, Registration No. 37,189 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on April 17, 2003.

*[Signature]*

*(PETER M. ULLMAN, reg. no. 43,963, for Steven H. Meyer)*

Registration No: 37,189

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

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**AMENDMENT AND REQUEST FOR RECONSIDERATION**

The following Amendment and Request for Reconsideration is submitted in response to the Office Action issued on January 17, 2003 (Paper No. 16) in connection with the above-identified patent application, and is being filed within the three-month shortened statutory period set for a response by the Office Action. Kindly amend the application, without prejudice, as follows: